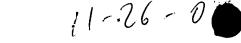


11-26-





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(TYPE OR PRINT) TRACY WESTPHAL

Attorney Docket No. 0881

November 13, 2001

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL

| Inventor(s): | Ranch et al. | | | | | | |
|---|---|---|--|--|--|--|--|
| Title: | Methods for Transforming Immature Maize Embryos | | | | | | |
| APPLICAT | ION ELEMENTS | | | | | | |
| 1. Fee 7 | Fransmittal Form (Submit a | n original, and a duplicate for fee processing) | | | | | |
| 2. Spec | ification | [Total Pages <u>55</u>] | | | | | |
| (Pre | ferred arrangement set for | th below) | | | | | |
| - Dese | criptive title of the Inventi | on | | | | | |
| - Cros | ss Reference to Related Ap | pplications | | | | | |
| - Statement Regarding Fed sponsored R & D | | | | | | | |
| - Refe | erence to Microfiche Appe | endix | | | | | |
| - Bacl | kground of the Invention | | | | | | |
| - Brie | f Summary of the Invention | on | | | | | |
| - Brie | f Description of the Draw | ings (if filed) | | | | | |
| - Deta | niled Description | | | | | | |
| - Clai | m(s) | | | | | | |
| - Abs | tract of the Disclosure | | | | | | |
| 3. Dray | uing(a) (25 HSC 112) | [Total Chasta] | | | | | |
| | ving(s) (35 USC 113) | [Total Sheets] | | | | | |
| a. L | Formal | | | | | | |
| b. | Informal | | | | | | |



| | Attorney Docket No. | | | | | |
|----|---|--|--|--|--|--|
| | 4. Oath or Declaration [Total Pages 3] a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 16 completed) | | | | | |
| | i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | | | |
| | 5. Microfiche Computer Program (Appendix) | | | | | |
| | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) [Total Pages] c. Statement verifying identity to above copies | | | | | |
| | ACCOMPANYING APPLICATION PARTS | | | | | |
| | 7. Assignment Papers (cover sheet & document(s)) | | | | | |
| å: | 8. 37 CFR 3.73(b) Statement Power of Attorney (where there is an assignee) | | | | | |
| | 9. English Translation Document (if applicable) | | | | | |
| | 10. Information Disclosure Statement (IDS/PTO-1449) Copies of IDS Citation | | | | | |
| | 11. Preliminary Amendment | | | | | |
| | 12. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | |
| | 13. Small Entity Statement(s) Statement filed in prior application Status still proper and desired | | | | | |
| | 14. Certified Copy of Priority document(s) | | | | | |

15. Other: Application Data Sheet

Attorney Docket No.

| | 16. If a CONTINUING APPLICATION , check the appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application | | | | | | | | |
|---|--|--|------------------|----------------|--------|----------------------|------------------------------|--|--|
| | No Prior application information: Examiner Group/Art Unit: | | | | | | | | |
| | For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | |
| | 17. CORRESPONDENCE ADDRESS | | | | | | | | |
| | Customer Number or Bar Code Label: 27310 (Insert Customer No. or Attach bar code label her or | | | | | | | | |
| | Correspondence address below | | | | | | | | |
| | Name: | Name: Catherine D. Brooke | | | | | | | |
| | Address: | dress: Pioneer Hi-Bred International, Inc. Corporate Intellectual Property 7100 N.W. 62 nd Avenue P.O. Box 1000 | | | | | | | |
| ц | City | Johnston | State: | Iowa | | Zip Code: | 50131-1000 | | |
| | Country: | USA | Phone: | (515) 248-4819 | | Facsimile: | (515) 334-6883 | | |
| | 18. FEE CALCULATION | | | | | | | | |
| | Bas Tota | total fee is calcula ic Filing Fee al Claims ependent Claims | 62 - 20 4 - 3 |) = 42 | x x | \$ 18.00 \$ 84.00 | \$ 740.00 756.00 84.00 | | |
| | | Multiple Depend | ent Claims | present | + | \$280.00 | \$ | | |
| | TOTAL FILING FEE | | | | | | \$ <u>1,580.00</u> | | |

Attorney Docket No.

Charge \$1,580.00 to Deposit Account No. 16-1852.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to our Deposit Account No. 16-1852.

A duplicate of this transmittal is enclosed

Respectfully submitted,

Catherine D. Brooke Agent for Applicant(s) Registration No. 44,041

PIONEER HI-BRED INTERNATIONAL, INC. Corporate Intellectual Property 7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000

Phone: (515) 248-4819 Facsimile: (515) 334-6883

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ranch et al. for "Methods for Transforming Immature Maize Embryos"

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

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Date of Deposit: November 13, 2001

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TRACY WESTPHAL

DATE

CASE NO.: 0881

